



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/872,360	05/31/2001		5181-91401

Robert C. Kowert
Conley, Rose, & Tayon, P.C.
P.O. Box 398
Austin, TX 78767



CONFIRMATION NO. 1146

FORMALITIES LETTER



OC000000006377108

Date Mailed: 08/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$162.
 - \$162 for 9 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1002.

01/22/2002 SSALEEKU 00000011 501623 09872360

01 FC:103 432.00 CH
02 FC:102 84.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/11/2002 CVORACHA 00000046 501623 09872360

01 FC:101 740.00 CH
02 FC:103 144.00 CH
03 FC:105 130.00 CH



Sector #

PATENT
5181-91401/P6357

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

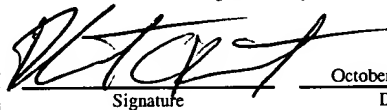
Application No.: 09/872,360
Filed: May 31, 2001
Inventor(s):
Gene H. Kan
Yaroslav Faybishenko
Douglass R. Cutting
Thomas J. Camarda
David M. Doolin
Steve Waterhouse
Title: Distributed Information
Discovery

§ Examiner: Unknown
§ Group/Art Unit: 2171
§ Atty. Dkt. No: 5181-91401
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Robert C. Kowert

Name of Registered Representative


Signature

October 22, 2001
Date

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application, mailed August 2, 2001, there is enclosed herewith:

- ☒ Assignments of the invention to Sun Microsystems, Inc. (including Recordation cover page)
- ☒ Combined Declaration and Power of Attorneys executed by the inventor(s)

- ☒ A Fee Authorization Form for \$1,164.00 to cover:
filing fee for large entity (\$740.00)
surcharge for late filing of oath or declaration for large entity (\$130.00)
assignment fee (\$40.00)
extra independent claims (\$00)
8 extra claims over 20 (\$144.00) (note that claim 13 was skipped)
petition for extension of time (\$110.00)
- ☒ Petition for One month Extension of Time
- ☒ A copy of Notice to File Missing Parts of Application Filing Date Granted
- ☒ A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5181-91401/RCK.

Respectfully submitted,



Robert C. Kowert
Reg. No. 39,255
Attorney for Applicants

Conley, Rose & Tayon, P.C.
P.O. Box 398
Austin, Texas 78767-0398
Ph: (512) 476-1400

Date: October 22, 2001

PATENT
5181-91401/P6357

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/872,360

Filed: May 31, 2001

Inventor(s):

Gene H. Kan

Yaroslav Faybishenko

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Title: Distributed Information
Discovery



Examiner: Unknown

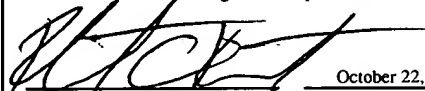
Group/Art Unit: 2171

Atty. Dkt. No: 5181-91401

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Robert C. Kowert

Name of Registered Representative


Signature

October 22, 2001

Date

FEE AUTHORIZATION

Commissioner for Patents
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fee to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5181-91401/RCK:

Fee: <u>Filing Fee</u>	Amount: <u>\$740.00</u>	Attorney Docket No.: <u>5181-91401</u>
Fee: <u>Excess Claims</u>	Amount: <u>\$144.00</u>	Attorney Docket No.: <u>5181-91401</u>
Fee: <u>Late Oath Fee</u>	Amount: <u>\$130.00</u>	Attorney Docket No.: <u>5181-91401</u>
Fee: <u>Ext. of Time</u>	Amount: <u>\$110.00</u>	Attorney Docket No.: <u>5181-91401</u>

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the abovementioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5181-91401/RCK.

Respectfully submitted,


Robert C. Kowert

Reg. No. 39,255

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Austin, TX 78767-0398
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Date: October 22, 2001